



Attorney Docket No.: 42390P10579

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

HONG JIANG

Application No.: 09/895,307

Filed: June 29, 2001

For: A METHOD, APPARATUS AND SYSTEM FOR
MULTIPLE-LAYER SCALABLE VIDEO CODING

Assistant Commissioner for Patents
Attention: **Box Missing Parts**
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed August 20, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Eric S. Hyman, Reg. No. 30,139

Dated: 10/1/01

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: 10-8-01

Melissa Stead

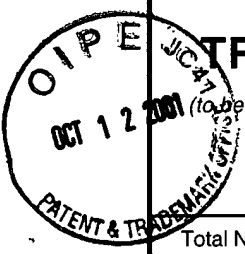
10-8-01
Date

Section 1135

PTO/SB/21(08/00)

Approved for use through 19/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/895,307
Filing Date	June 29, 2001
First Named Inventor	Hong Jiang
Group Art Unit	
Examiner Name	
Attorney Docket Number	42390P10579

Total Number of Pages in This Submission 18

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☒ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☒ Assignment Papers (for an Application)
- ☒ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

Declaration and Power of Attorney; Copy of Notice; Submission of formal drawings; return postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eric S. Hyman, Reg. No. 30,139 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	10/29/01

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10-8-01

Typed or printed name	Melissa Stead		
Signature		Date	10-8-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FREE TRANSMITTAL
for FY 2002

Petent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	170.00
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Complete if Known

Application Number	09/895,307
Filing Date	06/29/01
First Named Inventor	Hong Jiang
Examiner Name	
Group Art Unit	
Attorney Docket Number	42390P10579

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
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101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. CLAIMS

2. CLAIMS		Extra	Fee from below	Fee Paid
Total Claims	41 -		X	
Independent Claims	10 -		X	

Multiple Dependent Claims

	Large Entity	Small Entity
1. Revenue	More than \$5 million	Less than \$5 million
2. Assets	More than \$10 million	Less than \$10 million
3. Liabilities	More than \$5 million	Less than \$5 million
4. Employees	More than 50	Less than 50
5. Industry	Not a non-profit	Non-profit
6. Ownership	Not a sole proprietorship	Sole proprietorship
7. Location	Not a foreign entity	Foreign entity
8. Structure	Not a partnership	Partnership
9. Control	Not a trust	Trust
10. Other	Not a corporation	Corporation

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
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103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple Dependent claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

*or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)
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105	130	205	65	Surcharge - late filing fee or oath
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination
112	920 [*]	112	920 [*]	Requesting publication of SIR prior to Examiner action
113	1,840 [*]	113	1,840 [*]	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for response within first month
116	390	216	195	Extension for response within second month
117	890	217	445	Extension for response within third month
118	1,390	218	695	Extension for response within fourth month
128	1,890	228	945	Extension for response within fifth month
119	310	219	155	Notice of Appeal
120	310	220	155	Filing a brief in support of an appeal
121	270	221	135	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive - unavoidably
141	1,240	241	620	Petition to revive - unintentionally
142	1,240	242	620	Utility issue fee (or reissue)
143	440	243	220	Design issue fee
144	600	244	300	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	180	126	180	Submission of Information Disclosure Stmt
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))
179	710	279	355	Request for Continued Examination (RCE)
169	900	169	900	Request for expedited examination of a design application

Other fee (specify)

SUBTOTAL (3)	(\$)	170.00
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(\$) 170.00

SUBMITTED BY

Typed or Printed Name	Eric S. Hyman, Reg. No. 30,139
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Signature

Date _____

COB/O/

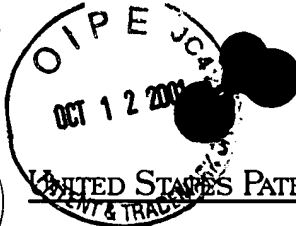
Complete (if applicable)

Req. Number	Req. Description	Req. Type	Req. Status	Req. Owner	Req. Manager	Req. Date	Req. Version	Req. Category	Req. Subcategory	Req. Priority	Req. Impact	Req. Effort	Req. Cost	Req. Risk	Req. Notes
1	Implement a new feature for the application.	Functional	Open	John Doe	Jane Smith	2023-01-15	1.0	Feature	Authentication	High	Medium	10	\$5000	Low	Initial requirement for the new feature.
2	Fix a bug in the application.	Defect	In Progress	John Doe	Jane Smith	2023-01-20	1.0	Bug	Authentication	Medium	Low	5	\$2500	Medium	Reported by user, needs investigation.
3	Improve the performance of the application.	Performance	Open	John Doe	Jane Smith	2023-02-01	1.0	Performance	Authentication	High	Medium	15	\$7500	Low	Performance issues reported by users.
4	Implement a new feature for the application.	Functional	Open	John Doe	Jane Smith	2023-02-10	1.0	Feature	Authentication	High	Medium	10	\$5000	Low	Initial requirement for the new feature.
5	Fix a bug in the application.	Defect	In Progress	John Doe	Jane Smith	2023-02-15	1.0	Bug	Authentication	Medium	Low	5	\$2500	Medium	Reported by user, needs investigation.
6	Improve the performance of the application.	Performance	Open	John Doe	Jane Smith	2023-02-20	1.0	Performance	Authentication	High	Medium	15	\$7500	Low	Performance issues reported by users.
7	Implement a new feature for the application.	Functional	Open	John Doe	Jane Smith	2023-03-01	1.0	Feature	Authentication	High	Medium	10	\$5000	Low	Initial requirement for the new feature.
8	Fix a bug in the application.	Defect	In Progress	John Doe	Jane Smith	2023-03-05	1.0	Bug	Authentication	Medium	Low	5	\$2500	Medium	Reported by user, needs investigation.
9	Improve the performance of the application.	Performance	Open	John Doe	Jane Smith	2023-03-10	1.0	Performance	Authentication	High	Medium	15	\$7500	Low	Performance issues reported by users.
10	Implement a new feature for the application.	Functional	Open	John Doe	Jane Smith	2023-03-15	1.0	Feature	Authentication	High	Medium	10	\$5000	Low	Initial requirement for the new feature.

Deposit Account	
User ID	

02-2666

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/895,307	06/29/2001	Hong Jiang	42390P10579

CONFIRMATION NO. 2386

08791

 BLAKELY SOKOLOFF TAYLOR & ZAFMAN
 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
 LOS ANGELES, CA 90025

FORMALITIES LETTER



OC00000006448541

Date Mailed: 08/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/15/2001 SZEDUD11 00000079 09093307

01 FC-105

130.00 02

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

RECEIVED

AUG 27 2001

U.S.P.T.O. DATABASE DEPT.



Initial Patent Examination Division (703) 308-1202

SECRET